

**NOTICE OF RULE ADOPTION—FINAL RULE**  
**STATE OF MISSISSIPPI**  
**MISSISSIPPI SECRETARY OF STATE'S OFFICE**  
**BUSINESS REGULATION AND ENFORCEMENT DIVISION**



Mississippi Secretary of State's Office  
c/o Mike Huggs  
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Post Office Box 136  
Jackson, Mississippi 39205-0136  
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Specific Legal Authority Authorizing the promulgation of  
Rule: Miss. Code Ann. §75-71-107(c)  
Reference to Rules repealed, amended or suspended by the  
Proposed Rule: 225, 229, and 235.

**Date Rule Proposed:** October 3, 2007

**Explanation of the Purpose of the Proposed Rule and the reason(s) for proposing the rule:** To clarify the existing rules to reflect Agency policy regarding notice filings, annual sales reports, and completion reports for securities registered pursuant to the Mississippi Securities Act and for those federal covered securities filed with the Agency. To insure consistency in the application of fees for annual sales reports for registered and federal covered securities.

The Agency Rule Making Record for this rule including any written comments received during the comment period and the record of any oral proceeding is available for public inspection by contacting the Agency at the above address.

☐ An oral proceeding was held on this rule:

Date: {Insert Date}  
Time: {Insert Time}  
Place: {Insert Place}

☒ An oral proceeding was not held on this rule.

The Agency has considered the written comments and the presentations made in any oral proceedings, and

☒ This rule as adopted is without variance from the proposed rule.

☐ This rule as adopted differs from the proposed rule as there are minor editorial changes which affect the form rather than the substance of the rule.

☐ The rule as adopted differs from the proposed rule. The differences however are:  
Within the scope of the matters in the Notice of Proposed Rule Adoption, the logical outgrowth of the contents of the Notice of Proposed Rule Adoption and the comments submitted in response thereto, and  
The Notice of Proposed Rule Adoption provided fair warning that the outcome of the proposed rule adoption could be the rule in question.

The entire text of the Proposed Rule including the text of any rule being amended or changed is attached.

**Effective Date of Rule:** March 24, 2008

  
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MIKE HUGGS  
Director  
Business Regulation and Enforcement Division  
Mississippi Secretary of State's Office